Application Form

for receiving funds from the

“**Finland Ukraine Trust Fund: Energy Efficiency, Renewable Energy and Alternative Types of Energy**”

This application form is the first step of the project to be implemented with the financial support from the “Finland Ukraine Trust Fund: Energy Efficiency, Renewable Energy and Alternative Types of Energy”.

If the project meets funding requirements, there will be more detailed information request, including but not limited to documents, which are referred in the application form.

The more complete the Application Form is, the smaller is the possibility of misinterpretation of the information, and the need to request additional information. *Please familiarise yourself with the main eligibility preconditions and requirements for the projects below before filling the application form.*

**Sector**:

1. Renewable energy and Waste-to-Energy

* Integration of Renewable and Waste-to-Energy sources into power systems while ensuring national system stability
* Use of these energy sources in electricity production as well as heating and cooling sectors
* Increasing the share of electricity produced from renewable and waste-to-energy sources
* Efficient logistic arrangements for bioenergy, utilisation of waste fuels
* Creation of new national funding instrument for attraction of investments in renewable sector

1. Power and heat generation

* Smart energy and power systems, utilising locally available clean energy sources

1. Energy efficiency:

* Energy efficiency in buildings
* Energy efficiency in industry
* District heating networks

1. IT solutions and distribution networks
2. Development of partnerships in the context of multilateral development programmes and projects of international financial institutions.

Please note, that during the selection process the following project features will be also considered: compliance with the purpose and objectives of the Fund, realistic implementation plan (administration / financial / time schedule), innovation (preferably the project should demonstrate an innovative approach at local, regional or national level), the possibility of the project to become a prototype in relevant fields / ability to be replicated in other regions (it is desirable that the project idea and experience could be applied by other cities / organisations), sustainable effect of the project (the project takes into account social, economic and environmental and climatic factors).

**Possible project[[1]](#footnote-1) types:**

Technical assistance projects

Funding for Technical Assistance (TA), also free standing, can be provided to different kind of projects including software services, legislation support and creation of funding instruments, knowhow, renewables, waste incineration etc. Specifically, projects eligible for funding of TA and consultancy projects should:

* benefit not only locally but nationally
* be transformative, demonstrative and innovative
* increase investor confidence in energy sector
* support ratification of clean energy policies
* provide new opportunities to support policy in the areas of cooperation under this fund
* promote foreign direct investment
* support a national funding instrument

Demonstration projects

Grant support may be extended to demonstrative projects, if:

* the projects are transformative, innovative and introducing new technologies at the local market;
* the partner commits itself to the project with either monetary or in kind contribution
* the project would probably not be implemented without support from the Fund

In addition demonstrative projects should:

* benefit not only locally but nationally
* be innovative on regional/national level
* build on well-known and proven technology
* be cost efficient
* be affordable and not having negative impacts on vulnerable groups of people
* increase energy efficiency on system, sub-system or component levels
* be environmentally sustainable
* be financially sustainable after grant support
* be examples of best practices and best available technologies (BAT)
* be replicable
* **Final Beneficiaries:** both public and private organisations / companies are eligible applying funding
* **Procurement**: all funds will be allocated through procurement acc. to NEFCO Procurement Guidelines (Works, Goods, Services (incl. related services e.g. software development), and Consulting Services can be procured).
* **Finnish content**: each project must have a Finnish interest in the form of consulting, supplies or investment. The level of the Finnish content is at least 30% of the total value of the project. The consultants selected shall be mainly firms registered in Finland.
* **Co-financing:** Project applicant’s/owner’s own contribution is required, however in special cases, e.g. consulting services and software development can be supported with a grant up to 100% of the cost.

Please note, that taxes, commissions and other fees cannot be covered by grant funds, in case of their occurrence, the Beneficiary must provide their co-financing.

Funds can be used exclusively to activities that meet the ODA criteria set up by the OECD DAC.

**Application submission:**

In order to apply for Finland Ukraine Trust Fund please fill in the application in Word (.doc) format (available for download on the facility page) including all annexes if available to the email: [finland.trustfund.proposals@gmail.com](mailto:finland.trustfund.proposals@gmail.com) (subject of the letter: "Application for funding: name of the organisation, region”)

Available funding from the Trust Fund is based on proposals received from interested applicants, which are further assessed by the Evaluation and Monitoring Committee of the Trust Fund and approved by NEFCO’s Investment Committee and no-objection approval by the Ministry for Foreign Affairs of Finland.

For more information, please contact:

Coordination and Management Consultant (Elomatic)

* Project Director, **Jarkko Olkinuora (Finland)**: +358 40 0805056 or

Jarkko.Olkinuora@elomatic.com

* Team Leader, **Andrew Levkonyuk (Ukraine)**: +380 97 6405390 or [finland.trustfund.proposals@gmail.com](mailto:finland.trustfund.proposals@gmail.com)

APPLICATION FORM

for receiving funds from the

“**Finland Ukraine Trust Fund: Energy Efficiency, Renewable Energy and Alternative Types of Energy**”

• Please do not use automatic markers and lists when filling out the application

• please do not create additional tables in the document (for example when describing the financial plan), tables can be provided as an attachment

|  |  |
| --- | --- |
| **Applicant:** name of the entity that applies for funding of the project  Applicant’s basic information: please add general information about the entity that applies for funds (private/public, area of business, core activities etc)  Ownership type:  Public/Private  Core activities:  Personnel number: \_\_\_\_\_\_ people (permanent staff)  Revenues for the last 3 years:  1. Year 20XX\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_UAH (\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_€)  2. Year 20XX\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_UAH (\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_€)  3. Year 20XX\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_UAH (\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_€) | |
| Name and position of the Authorised representative: | Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| **Contact details of the Applicant:**  {Contact details of the person who is able to answer additional questions about the application/project} | |
| Phone: {including telephone country&area code} | |
| Address:  Oblast (according to project location): | |
| Mobile phone: | |
| Email: | |

|  |  |  |
| --- | --- | --- |
| № | Question | Response |
| Summary | | |
| 1 | Project name | {please fill in the name of the Project that will be used for mentioning the project and must reflect its core idea} |
| 1.1 | Project type | Please select:  Technical assistance  Demonstration project |
| 1.2 | Project sector | Please select:  Renewable Energy and Waste-to-Energy  Integration of Renewable and Waste-to-Energy sources into power systems while ensuring national system stability  Use of these energy sources in electricity production as well as heating and cooling sectors  Increasing the share of electricity produced from renewable and waste-to-energy sources  Efficient logistical arrangements for bioenergy, utilization of waste fuels  Creation of new national funding instrument for attraction of investments in renewable sector  Power and heat generation  Smart energy and power systems, utilizing locally available clean energy sources  Energy efficiency:  District heating networks;  Energy efficiency in buildings  Energy efficiency in industry   IT solutions and distribution networks  Development of partnerships in the context of multilateral development programs and projects of International Finance Institutions. |
| 2 | Summary | {please describe the main idea of the project, its aim, challenges, tasks, briefly describe the method of its implementation, expected outcomes and results (short-term and long-term)} |
| Description of the proposed Project | | |
| 3 | Background | {Please describe the relevance of the existing problem and the project for the region / country, provide supporting data, describe the technical and legal basis (preparedness) of the project, etc.} |
| 4 | Brief Description of the Project | {Till 400 characters – tasks and objectives of the project, proving the reasoning of its implementation and reasoning for grant financing}  Technical assistance:   * the long-term goals of the TA (support of Ukraine’s renewable energy strategy etc.) * immediate objectives of the TA (for instance a Feasibility Study for an Investment Project, construction of a new plant) * key tasks and expected deliverables   Demonstration Project:   * key elements, how the project meets the requirements of a DP (innovativeness, proven technology etc.) * technical description of the intended project (configuration, capacities) * expected performance of the new facility (technical KPIs etc.) * description, how the demonstration results will be disseminated |
| 5 | Timeline | {please indicate the next steps and its timeframe for the core activities proposed}  1st Quarter/Year -  2nd Quarter/Year -  3rd Quarter/Year -  4th Quarter/Year - |
| 6 | Description of the available project documentation | { Till 400 characters - please list the status of the available project documentation – design documents, business plans, energy audits, commercial offers, own assessments etc.} |
| 7 | Final Beneficiary / Recipient | Fill in the name of the final beneficiary / recipient of the Project  Please select:  the Applicant  other entity: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_(please indicate, and attach financial reports for the last 3 years, if a private entity) |
| Financial Information | | |
| 8 | Total value of Project | { please indicate the total amount of applied grant funds in euros} |
| 9 | Main Components of the Investment (for Demonstrational projects) / Budget (for Technical Assistance Projects) | { itemized components of the investments and its values;  in addition, please fill in the table in annex 1} |
| 10 | Sources of financing | {Please specify planned sources of financing – own funds(financial or other form of the applicant's contribution to the project), loan funds, grant funding from present programme}  Please note, that taxes, commissions and other fees cannot be covered by grant funds, in case of their occurrence, the Beneficiary must provide their co-financing. |
| 11 | Reasoning for grant funding | {please provide the reasoning for grant funding (for example, why the project cannot be implemented without grant funds or why it should be a priority for such funding)} |
| 12 | Financial Indicators (only for demonstration projects) | {Please indicate if such calculations are available (financial indicators of the project, profitability calculations and other financial indicators)} |
| Partners | | |
| 13 | Local Partners and their input | {please specify if any local partners are engaged into the projects. Please describe their role / contribution to the project.} |
| 14 | Finnish Partner / Finnish Content\* | Each project must have a Finnish interest in a form of consulting, supplies or investment as defined by Finnvera from time to time as Finnish content for export credit guarantees. The consultants procured shall be mainly firms registered in Finland  Please specify:  For Technical Assistance projects  Availability of potential Finnish (consulting, scientific) companies that could have relevant experience / knowledge.  For Demonstration Projects  What Finnish companies / technologies / equipment could potentially be involved in the project implementation  \* if information on the potential Finnish partner is not known/studied, leave the field blank, further discussions / clarifications are possible |
| Social and Environmental Characteristics | | |
| 15 | Environmental impact | {please list the environmental benefits from the project} |
| 16 | Social impacts and gender assessment | {please list social impacts and gender assessment of the project} |
| Risk Assessment | | |
| 17 | Market risks | Describe the risks and planned mitigation activities |
| 18 | Implementation / Operational risks | Describe the risks and planned mitigation activities |
| 19 | Risks related to partners/stakeholders | Describe the risks and planned mitigation activities |
| Additional Information | | |
| 20 | International Financial Institutions | Please indicate, experience of cooperation and information on completed, on-going and/or planned projects with International Financial Institutions (IFIs)  Separately, if available, previous experience of cooperation with NEFCO |
| 21 | Additional Documentation | Please indicate the list of annexes to the application, if any  (e.g. business plan, energy audit, technical characteristics, in-depth project description, financial statements, letters of support, etc.). |
| 22 | Pictures | Please select:  available  not available  {Please provide pictures (as e-mail attachment) in a sufficient quality, which allow to assess the status of the object, its size, surrounding etc.} |
| 23 | Location | {please specify the exact location of the project: address and, if possible, coordinates and a link to a point on Google Maps} |
| 24 | Promotion and dissemination of project results | {Please describe planned actions for promotion and dissemination of project results (for example preparation of information materials, press conferences, presentations, media releases, official web-site publications, YouTube, brochures, flyers, Facebook, e-newsletters, etc.)} |

By submitting this form, the applicant agrees with the policy on integrity due diligence and undertakes to provide ID data (names of director, owner and chief accountant) for check in case of project selection.

**Annex 1**

|  |  |  |
| --- | --- | --- |
| Component | Cost in EUR | % |
| 1 |  |  |
| 2 |  |  |
| …. |  |  |
| Total |  |  |

1. Project in this application refers to the particular activity, to what funding is applied. Technical assistance projects typically are the first step for an investment project. [↑](#footnote-ref-1)